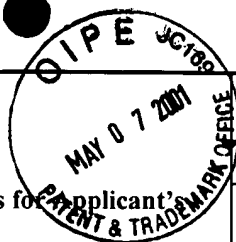


Form PTO-1449 (modified)


 Atty. Docket No.  
10830.0075.NPUS00

 Serial No.  
09/804,320

 List of Patents and Publications for Applicant's  
INFORMATION DISCLOSURE STATEMENT

 Applicant  
Frank S. Caccavale

 Filing Date:  
March 12,, 2001

 Group:  
1630

 U.S. Patent Documents  
See Page 1

 Foreign Patent Documents  
See Page 2

 Other Art  
See Page 2

TECH CENTER 1600/2900

MAY 09 2001

RECEIVED

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1						
	A2						

Technology Center 1600

MAY 12 / 01

RECEIVED

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Technology Center 1600

MAY 14

RECEIVED

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
TBT	C1	John Phillips, "Antivirus Scanning Best Practices Guide," [TR3107], Network Appliance, Inc. 495 East Java Drive, Sunnyvale, CA 94089, pp. 1-6; <a href="http://www.netapp.com/tech_library/3107.html">http://www.netapp.com/tech_library/3107.html</a> , dated at least as early as 4/12/01
	C2	

Technology Center 2100

MAY 14 2001

RECEIVED

EXAMINER:

DATE CONSIDERED:

10/27/04

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)	Atty. Docket No. 10830.0075.NPUS00	Serial No. Unknown
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	Applicant Frank S. Caccavale	
	Filing Date: Unknown	Group: Unknown
U.S. Patent Documents See Page 1		Other Art See Page 1

j1011 U.S. PTO  
09/804320  
03/12/01

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
TBT	A1	6,088,803	07/11/00	Tso et al.	713	201	12/20/97
TBT	A2	5,918,008	06/29/99	Togawa et al.	<del>395</del> 713	<del>186</del> 200	06/03/96
TBT	A3	5,893,140	04/06/99	Vahalia et al.	711	118	11/13/96
TBT	A4	5,475,839	12/12/95	Watson et al.	<del>395</del> 713	<del>650</del> 2	11/16/94
TBT	A5	5,367,698	11/22,94	Webber et al.	<del>395</del> 709	<del>800</del> 203	10/31/91
TBT	A6	5,257,381	10/26/93	Cook	<del>395</del> 719	<del>700</del> 318	02/28/92

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
TBT	C1	Gerald Foster, "Desktop Management with Novell ZENworks", Chapter 3, "The Netware Clients and Related Components, "1 <sup>st</sup> Ed. April 2000, O'Reilly & Associates, Inc. <a href="http://www.oreilly.com/catalog/novellzen/chapter/ch03.html">http://www.oreilly.com/catalog/novellzen/chapter/ch03.html</a> , 30 pages
TBT	C2	NT Exam Issues, "NT Server & Workstation (Exams 70-067 & -073) Issues" by Ken <a href="http://www.ftq.com/notes/nt.html">http://www.ftq.com/notes/nt.html</a> , 17 pages
TBT	C3	Microsoft Press Computer Dictionary, 3 <sup>rd</sup> eEd., Microsoft Press, a division of Microsoft Corporation, Redmond, Washington, 1997, Page 500
TBT	C4	Encyclopedia of Computer Science, Third Edition, 1995 International Thomson Computer Press, Boston, MA, Pages 329-330
TBT	C5	Network Anti-Virus Solutions (Info World), August 10, 1998 (Vol. 20, Issue 32) Pages 1-22, Appearing at <a href="http://archive.infoworld.com/cgi-bin/displayArchive.pl?98/32/virusa.dat.htm">http://archive.infoworld.com/cgi-bin/displayArchive.pl?98/32/virusa.dat.htm</a> , version printed 2/23/01

EXAMINER:

Chankya B. Dm

DATE CONSIDERED:

10/27/04

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)